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SONY-15200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Jon Ebbe Brelin

Serial No.: 09/971,998

Filed: October 4, 2001

For: A METHOD OF AND  
APPARATUS FOR  
CANCELLING A PENDING  
AV/C NOTIFY COMMAND

REQUEST FOR REFUND (IMPROPER  
CHARGE OF DEPOSIT ACCOUNT)

162 North Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Attn: Refund Section, Accounting Division  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated August 31, 2005 for the above-identified patent application.

An Information Disclosure Statement was filed on August 19, 2005 (see copy of transmittal letter and stamped received postcard). Deposit Account 08-1275 was debited \$180.00 on August 31, 2005 under fee code 1806. However, a certification under 37 CFR § 1.97 (e) was included with the Information Disclosure Statement and was filed within the three month certification time period. Attached is a copy of the Information Disclosure Statement as filed August 19, 2005, including the certification. As the IDS was filed prior to November 3, 2005 no IDS filing fee was required.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request is enclosed for this purpose.

Respectfully submitted  
HAVERSTOCK & OWENS LLP

Dated: December 23, 2005

By: Jonathan O. Owens

Jonathan O. Owens  
Registration No.: 37,902  
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 12-23-05 By: J. D. Owens



**United States  
Patent and  
Trademark Office**

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**Deposit Account Statement**

Requested Statement Month: August 2005  
 Deposit Account Number: 081275  
 Name: HAVERSTOCK & OWENS LLP  
 Attention: DANIELLE DALTON  
 Address: 162 NORTH WOLFE ROAD  
 City: SUNNYVALE  
 State: CA  
 Zip: 94086  
 Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/16	101	60241450	24717-701	8021	\$560.00	\$1,624.00
08/17	1	10882607	COOL-00505	1806	\$180.00	\$1,444.00
08/17	5	PCT/US05/24641	RK-00201WO	1602	-\$700.00	\$2,144.00
08/18	6	10122244	1004-89	1811	\$100.00	\$2,044.00
08/23	1	10366122	COOL-00202COOL-00202	1806	\$180.00	\$1,864.00
08/23	2	10366122	COOL-00202COOL-00202	1806	\$180.00	\$1,684.00
08/23	3	10366122	COOL-00202COOL-00202	1806	\$180.00	\$1,504.00
08/24	185	76434038		9204	-\$50.00	\$1,554.00
08/25	12	10643641	COOL-00901	1806	\$180.00	\$1,374.00
08/25	21	PCT/US02/09590	SLM-05800WO	1607	\$600.00	\$774.00
08/30	51	11174256	SSI-05700	1463	\$70.00	\$704.00
08/31	1	09971998	SONY-15200	1806	\$180.00	\$524.00
08/31	10	09704642		1811	-\$100.00	\$624.00
08/31	55	SERVICE CHARGE		9202	\$25.00	\$599.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$2,184.00	\$2,435.00	\$850.00	\$599.00

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Serial No.: 09/971,998

H&O File No.: SONY-15300 By: J00/SP

In the Matter of The Application of: JON EBBE BREIN

Due Date: NOV. 3, 2005

Date Mailed: 8/19/05  
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Design Patent Application _____ Pages           | <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)     | <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicate |
| <input type="checkbox"/> Utility Patent Application _____ Pages          | <input type="checkbox"/> Form PTOL-65B (Issue Fee Trans.) & dup.   | <input checked="" type="checkbox"/> Certificate of Mailing   |
| <input type="checkbox"/> Prov. Patent Application _____ Pages            | <input type="checkbox"/> Form PTO-1050 (Cert. of Correction)       | <input type="checkbox"/> Certificate of Express Mailing  |
| <input type="checkbox"/> Declaration/Oath                                | <input type="checkbox"/> Maintenance Fee Transmittal Form          | Label No. _____  |
| <input type="checkbox"/> Power of Attorney _____                         | <input type="checkbox"/> Reexamination Request                     | <input type="checkbox"/> Check(s) \$ _____   |
| <input type="checkbox"/> Assignment                                      | <input type="checkbox"/> Notice of Appeal                          | <input checked="" type="checkbox"/> Deposit Account Authorization Form                               |
| <input type="checkbox"/> Form PTO-1594/1595                              | <input type="checkbox"/> Affidavit/Declaration                     |  |
| <input type="checkbox"/> Small Entity Statement                          | <input type="checkbox"/> Copy of Filing Receipt                    |  |
| <input type="checkbox"/> Drawings: _____ Sheets(n)                       | <input type="checkbox"/> Req. for Corr. of: _____                  |  |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal        | <input type="checkbox"/> Request for Non-Publication               |  |
| <input checked="" type="checkbox"/> Information Disclosure Statement     | <input type="checkbox"/> Rescission of Request for Non-Publication |  |
| <input checked="" type="checkbox"/> Form PTO-1449 and Copies of <u>2</u> | <input type="checkbox"/> _____                                     |  |
| References Contained Thereon   | <input type="checkbox"/> _____                                     |  |
| <input checked="" type="checkbox"/> Information Disclosure Certification | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Amendment/Response                              | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Extension of Time Request: _____ Months(s)      | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Petition  | <input type="checkbox"/> _____                                     |  |

FOR PTO USE

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Attorney Docket No.: PATENT  
SONY-15200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 2112
Jon Ebbe Brelin	)	Examiner: Myers, Paul R.
Serial No.: 09/971,998	)	<b><u>TRANSMITTAL LETTER</u></b>
Filed: October 4, 2001	)	162 N. Wolfe Road
For: <b>A METHOD OF AND APPARATUS</b>	)	Sunnyvale, CA 94086
<b>FOR CANCELLING A PENDING</b>	)	(408) 530-9700
<b>AV/C NOTIFY COMMAND</b>	)	Customer No.: 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COPY** Sir,  
Enclosed please find the Supplemental Information Disclosure Statement, Form PTO-1449, and the Certificate for the Information Disclosure Statement, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 19, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 8-19-05 By: Jonathan O. Owens

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Attorney Docket No.: PATENT  
SONY-15200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon Ebbe Brelin

Serial No.: 09/971,998

Filed: October 4, 2001

For: **METHOD OF AND APPARATUS  
FOR CANCELLING A PENDING  
AV/C NOTIFY COMMAND**

) Group Art Unit: 2112

) Examiner: Myers, Paul R.

) **CERTIFICATION FOR  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR § 1.97(e)**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700  
) Customer No.: 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on August 3, 2005. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

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PATENT  
Attorney Docket No.: SONY-15200

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 19, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 8-19-05 By: Jonathan O. Owens

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Attorney Docket No.: PATENT  
SONY-15200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon Ebbe Brelin

Serial No. 09/971,998

Filed: October 4, 2001

For: **A METHOD OF AND APPARATUS  
FOR CANCELLING A PENDING  
AV/C NOTIFY COMMAND**

Group Art Unit: 2112

Examiner: Myers, Paul R.

**SUPPLEMENTAL INFORMATION**  
**DISCLOSURE STATEMENT**

162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700  
Customer No.: 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,088,364; and
- U.S. Patent No. 6,237,049 B1.

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PATENT  
Attorney Docket No.: SONY-15200

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: August 19, 2005

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 8-19-05 By: Jonathan O. Owens



FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SONY-15200

Serial No.: 09/971,998

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets If Necessary)

Applicant: Jon Ebbe Brelín

Filing Date: October 4, 2001

Group Art Unit: 2112

(37 CFR § 1.98(b))

## U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AA	6,088,364	07/11/00	Tokuhiro	370	466	07/14/97
AB	6,237,049 B1	05/22/01	Ludtke	710	8	09/10/98
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
AK							
AL							
AM							
AN							
AO							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AP	
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AS	
AT	
AU	
AV	
AW	
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AY	
AZ	

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

United States Patent and Trademark Office  
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08/31/2005 DHALL1 00000001 081275 09971998

01 FC:1806 180.00 DA

Adjustment date: 01/17/2006 EEKUBAY1  
08/31/2005 DHALL1 00000001 081275 09971998  
01 FC:1806 180.00 CR